

# Energy landscape exploration using BART

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Daubechies Wavelets in Electronic Structure Calculation: BigDFT Code Tutorial

October 19th - 21st, 2011

The central idea to ART is the activation, following the eigendirection corresponding to a negative eigenvalue, of a configuration from a local minimum to a nearby saddle point.

The basic algorithm can be divided into three steps:

1. Leaving the harmonic well
2. Converging to the saddle point
3. Relaxing to a new minimum

## Input files

- ▶ bart.sh
- ▶ posinp.xyz (ascii)
- ▶ input.dft
- ▶ input.geopt
- ▶ psppar.*atom type*
- ▶ list\_atoms.dat
- ▶ saddle.xyz (if EVENT\_TYPE=GUESS\_SADDLE)

## bart.sh: general format

All parameters are reading as environment variable.

setenv in csh shells

export in sh and ksh shells

```
#!/bin/csh
#----- ATOMS
setenv NATOMS 8 # Number of atoms in the problem
setenv type1 C
setenv type2 H
#----- ART
setenv EVENT_TYPE GUESS_SADDLE # Either 'NEW', 'REFINE_SADDLE' when further converging a saddle point
# Or "REFINE_AND_RELAX", to refine at the saddle
# and check the final minimum
setenv ENERGY_CALC BIG
setenv Temperature -0.5 # Temperature in kcal/mol, if negative always reject the event
setenv Max_Number_Events 1000 # Maximum number of events
setenv Type_of_Events local # Activation: global, local, list_local, list
setenv Radius_Initial_Deformation 1.2 # Cutoff for local_coord (in angstroms)
setenv Central_Atom # Number of the atom around which the initial move takes place
setenv sym_break_dist 0.001 # Breaks the symmetry of the crystal by randomly displacing
# all atoms by this distance
setenv Activation_MaxIter 300 # Maximum number of interaction for reaching the saddle point
setenv Initial_Step_Size 0.05 # Size of initial displacement, in A
setenv Increment_Size 0.09 # Overall scale for the increment moves
setenv Force_Threshold_Perp_Rel 0.5 # Threshold for perpendicular relaxation
...
...
...
```

## #----- ATOMS

- ▶ NATOMS: Number of atoms in the problem
- ▶ type1: atom type 1 (obsolet)
- ▶ type#: atom type # (obsolet)

# #----- ART

## ▶ EVENT\_TYPE:

- ▶ **NEW**: simulation is started from scratch
- ▶ **REFINE\_SADDLE**: a previous rough evaluation of the saddle is refined
- ▶ **REFINE\_AND\_RELAX**: same as before plus a relaxation
- ▶ **GUESS\_SADDLE**: the initial direction is given by the user

# #----- ART

- ▶ ENERGY\_CALC:
  - ▶ **BIG**: for BigDFT
  - ▶ **BSW**: QM/MM (*in development*)
  - ▶ **SWP**: Stillinger-Weber potential

## #----- ART

- ▶ **Temperature:** (*real*) Fictive temperature (eV) for the acceptance ratio. If negative the event is rejected
- ▶ **Max\_Number\_Events:** (*integer*) number of trajectories between two minima in `EVENT_TYPE = NEW`
- ▶ **Type\_of\_Events** kind of initial perturbation
  - ▶ **global:** all atoms are perturbed
  - ▶ **local:** a central atom and its neighbours are perturbed
  - ▶ **list:** just the atoms in the list are perturbed
  - ▶ **list\_local:** same as local but the central atom is randomly chosen from a list

## #----- ART

- ▶ Radius\_Initial\_Deformation: (*real*) define cutoff for the sphere of neighbours in Type\_of\_Events = local or list\_local
- ▶ Central\_Atom: (*integer*) central atom of deformation. If empty, it is randomly chosen
- ▶ sym\_break\_dist: (*real*) breaks the symmetry of the crystal by randomly displacing all atoms by this distance.
- ▶ Activation\_MaxIter: (*integer*) maximum number of interactions for reaching the saddle point
- ▶ Initial\_Step\_Size: (*real*) size of initial displacement in Å
- ▶ Increment\_Size: (*real*) overall scale for the increment moves
- ▶ Force\_Threshold\_Perp\_Rel: (*real*) threshold for perpendicular relaxation

## #----- HARMONIC WELL

- ▶ Basin\_Factor: (*real*) factor multiplying Increment\_Size for leaving the basin
- ▶ Max\_Perp\_Moves\_Basin: (*integer*) maximum number of perpendicular steps leaving basin
- ▶ Min\_Number\_KSteps: (*integer*) min. number of ksteps before calling lanczos
- ▶ Eigenvalue\_Threshold: (*real*) eigenvalue threshold for leaving basin
- ▶ Max\_Iter\_Basin: (*integer*) maximum number of iteration for leaving the basin (kter)

## #----- LANCZOS

- ▶ `gnrm`: (*real*) convergence criterion for the wavefunction optimization for Lanczos Vectors. Reasonable values are in most cases between  $2 \times 10^{-5}$  and  $1 \times 10^{-5}$
- ▶ `calc_of_projection`: (*logical*) it calculates the projection only at every two steps but after 4 steps above of an inflection in the eigenvalue, and if the last a1  $\leq 0.9d0$ .
- ▶ `Lanczos_of_minimum`: (*logical*) Calculation of the Hessian for each minimum
- ▶ `Number_Lanczos_Vectors_A`: (*integer*) number of vectors used in the lanczos recursion method for the harmonic well
- ▶ `Number_Lanczos_Vectors_C`: (*integer*) same as before but for the stage convergence
- ▶ `delta_disp_Lanczos`: (*real*) step of the numerical derivative of forces in lanczos (Å)

## #----- CONVERGENCE

- ▶ Max\_Perp\_Moves\_Activ: (*integer*) maximum number of perpendicular steps during activation
- ▶ Exit\_Force\_Threshold: (*real*) threshold for convergence at saddle point
- ▶ Prefactor\_Push\_Over\_Saddle: (*real*) fraction of displacement over the saddle
- ▶ Save\_Conf\_Int: (*logical*) save the configuration at every step?
- ▶ delta\_threshold: (*real*) if  $E - E_{ref} < \text{delta\_thr}$  .and.  $\text{delr} < \text{delr\_thr}$  kills the event. Test done in the convergence stage to see if the configuration is coming back to the original minimum.
- ▶ delr\_threshold:

## #----- DIIS

- ▶ Use\_DIIS: (*logical*) use DIIS for the final convergence to saddle
- ▶ Iterative: (*logical*) iterative use of Lanczos & DIIS
- ▶ Inflection: (*integer*) number of Lanczos steps after an inflection in the eigenvalue for calling DIIS
- ▶ Use\_DIIS: (*logical*) use DIIS for the final convergence to saddle
- ▶ DIIS\_Force\_Threshold: (*real*) force threshold for call DIIS
- ▶ DIIS\_Memory: (*integer*) number of vectors kept in memory for the algorithm
- ▶ DIIS\_Check\_Eigenvector: (*logical*) check that the final state is indeed a saddle point
- ▶ DIIS\_Step\_size: (*real*) prefactor multiplying forces
- ▶ FACTOR\_DIIS: (*real*) times Increment\_Size, max allowed diis step size
- ▶ MAX\_DIIS: (*integer*) max diis iterations per call

## #----- INPUT

- ▶ FILECOUNTER: (obsolet) file tracking the file (event) number - facultative
- ▶ REFCONFIG : reference minima for ENERGY\_TYPE = REFINE\_SADDLE or REFINE\_AND\_RELAX options

## #----- OUTPUT

- ▶ LOGFILE: name of file of general output for message
- ▶ EVENTSLIST: name of file. lists of events with success or failure
- ▶ Write\_restart\_file: (*logical*) it is useful only for ab-initio
- ▶ RESTART: name for the restart file
- ▶ Write\_xyz: (*logical*) writes the configuration in .xyz format

## Output files

- ▶ \*.out: includes the BigDFT standard output information plus more detailed information about ART
- ▶ log.file.#: shows the evolution of the exploration for each event (minimum to minimum)
- ▶ min-event-.xyz: coordinates of the system at each minimum
- ▶ sad-event-.xyz: coordinates of the system at each saddle
- ▶ events.list: Includes the connection between minima. It states if the event was accepted. Useful for postprocessing.
- ▶ filecounter : `obsolete`
- ▶ `p_event_attempt_step_stage.xyz`: The coordinates of the system at each step if `Write_xyz==.True`.